IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	Art Unit: **2135**
John M. Hammer et al.	)	
	)	Examiner: **Leynna T. Ha**
Serial No.: **09/685,285**	)	
	)	Docket No.: **05456.105008**
Filed: **October 10, 2000**	)	
	)	Conf. No.: **4449**
For: **Method and System for Creating a Record**	)	
for One or More Computer Security	)	
Incidents	)	

INFORMATION DISCLOSURE STATEMENT ("IDS")
WITH AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT THE APPROPRIATE
FEE TO CONSIDER THE IDS

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, Applicants hereby submit an Information Disclosure Statement ("IDS") for consideration by the Examiner. The patents, publications or other information submitted for consideration by the Patent Office are listed on Form PTO-1449, attached hereto. Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.

Except as may be indicated below, all of the patents, publications or other information are in the English language, a copy of which is attached hereto and therefore, a concise explanation of each reference is not required. The following additional information is provided for the Examiner's consideration.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 2, 2005.

Minikia Blair

3842500_1.DOC   Minikia D. Blair

To assist the Examiner in reviewing this IDS, the Applicants have conducted a key word search of each U.S. patent and U.S. patent application publication listed on the attached Form PTO-1449. The Applicants used the following search terms in his query of the U.S. patents and U.S. patent application publications: (1) "computer$"; (2) "intrusion$", (3) "detect$", (4) "(classif$ or type or types)", (5) "(proced$ or respon$ or log or logged or logs)" and (6) "(store$ or save or saved or record$)." The Applicants used Boolean logic when performing this search in which each term was linked by the logical connector "AND." A search of these U.S. patents and U.S. patent application publications using the aforementioned terms and Boolean search logic yielded the following results (44 patents and 9 publications):

U.S. PATENTS
U.S. Patent No. 5,278,901 to Shieh et al.
U.S. Patent No. 5,414,833 to Hershey et al.
U.S. Patent No. 5,440,723 to Arnold et al.
U.S. Patent No. 5,557,742 to Smaha et al.
U.S. Patent No. 5,623,601 to Vu
U.S. Patent No. 5,812,763 to Teng
U.S. Patent No. 5,905,859 to Holloway et al.
U.S. Patent No. 5,919,258 to Kayashima et al.
U.S. Patent No. 5,991,881 to Conklin et al.
U.S. Patent No. 6,070,244 to Orchier et al.
U.S. Patent No. 6,088,804 to Hill et al.
U.S. Patent No. 6,185,689 to Todd, Sr. et al.
U.S. Patent No. 6,275,942 to Bernhard et al.
U.S. Patent No. 6,279,113 to Vaidya
U.S. Patent No. 6,282,546 to Gleichauf et al.
U.S. Patent No. 6,298,445 to Shostack et al.
U.S. Patent No. 6,301,668 to Gleichauf et al.
U.S. Patent No. 6,321,338 to Porras et al.
U.S. Patent No. 6,324,647 to Bowman-Amuah
U.S. Patent No. 6,324,656 to Gleichauf et al.
U.S. Patent No. 6,347,374 to Drake et al.
U.S. Patent No. 6,353,385 to Molini et al.
U.S. Patent No. 6,405,318 to Rowland
U.S. Patent No. 6,405,364 to Bowman-Amuah
U.S. Patent No. 6,408,391 to Huff et al.
U.S. Patent No. 6,415,321 to Gleichauf et al.
U.S. Patent No. 6,453,345 to Trcka et al.
U.S. Patent No. 6,460,141 to Olden

The Applicants exerted this additional time and effort to help the Examiner so that if a patent is ever issued on this patent application, third parties will understand that the Applicants submitted the attached prior art on a good faith basis. The Applicants recognize that the attached prior art is voluminous but it is not the Applicants' intent to "bury" any patents that maybe more pertinent than other ones. The Applicants do not want to dismiss any reference that he is aware of as cumulative in order to prevent third parties from arguing that the Applicants intentionally did not submit any prior art in an effort to deceive the Examiner and the Patent Office.

This IDS is being filed under 37 C.F.R. § 1.97(c)(2), before the mailing date of any of a final action under 37 C.F.R. § 1.113, a notice of allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution in the application. No certification is required. The Commissioner is authorized to charge the $180.00 fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-0980. Please charge any additional fees pursuant to 37 C.F.R. §§ 1.16 or 1.17 to Deposit Account No. 11-0980.

If the Examiner has any questions concerning this IDS, he is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the Patent Office is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 11-0980.
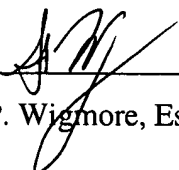
Enclosures: Respectfully submitted,
1. Form PTO-1449
2. Foreign Patents   KING & SPALDING LLP
3. Publications
4. Postcard   By_____

                                               Steven P. Wigmore, Esq., Reg. No. 40,447

U.S. PATENTS
U.S. Patent No. 6,477,651 to Teal
U.S. Patent No. 6,484,203 to Porras et al.
U.S. Patent No. 6,487,666 to Shanklin et al.
U.S. Patent No. 6,499,107 to Gleichauf et al.
U.S. Patent No. 6,578,147 to Shanklin et al.
U.S. Patent No. 6,606,744 to Mikurak
U.S. Patent No. 6,647,400 to Moran
U.S. Patent No. 6,681,331 to Munson et al.
U.S. Patent No. 6,704,874 to Porras et al.
U.S. Patent No. 6,708,212 to Porras et al.
U.S. Patent No. 6,711,127 to Gorman et al.
U.S. Patent No. 6,711,615 to Porras et al.
U.S. Patent No. 6,792,546 to Shanklin et al.
U.S. Patent No. 6,816,973 to Gleichauf et al.
U.S. Patent No. 6,839,850 to Campbell et al.
U.S. Patent No. 6,871,284 to Cooper et al.
U.S. Patent No. 6,912,676 to Gusler et al.

| U.S. Patent Application Publications |
|---|
| U.S. Patent Application Publication No. 2001/0034847 to Gaul, Jr. |
| U.S. Patent Application Publication No. 2002/0032717 to Malan et al. |
| U.S. Patent Application Publication No. 2002/0032793 to Malan et al. |
| U.S. Patent Application Publication No. 2002/0035698 to Malan et al. |
| U.S. Patent Application Publication No. 2002/0138753 to Munson |
| U.S. Patent Application Publication No. 2002/0144156 to Copeland, III |
| U.S. Patent Application Publication No. 2003/0088791 to Porras et al. |
| U.S. Patent Application Publication No. 2003/0212903 to Porras et al. |
| U.S. Patent Application Publication No. 2004/0010718 to Porras et al. |

The Applicants submit that these search terms cannot be interpreted as providing emphasis on these terms when the claims are interpreted and construed by both the Examiner and the courts. The Applicants merely selected these terms to identify the prior art that may be more relevant than prior art that do not contain these search terms.

To further assist the Examiner, the Applicants have organized each of the U.S. Patents and U.S. Patent Application Publications according to classification. For the non-U.S. patent prior art, the Applicants have annotated Form PTO-1449 with the location of each document in a respective box.

FORM PTO-1449, Adapted

LIST OF INFORMATION DISCLOSED BY APPLICANT
(Use several sheets if necessary)

ATTY. DOCKET NO. 05456.105008	SERIAL NO. 09/685,285	FILING DATE October 10, 2000
APPLICANT John M. Hammer et al.		GROUP 2135
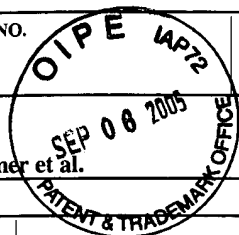
U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,696,486	12/9/97	Poliquin et al.	340		
	AB	6,353,385	3/5/02	Molini et al.	340		
	AC	5,353,393	10/4/94	Bennett et al.	345		
	AD	6,429,952	8/6/02	Olbricht	358		
	AE	5,748,098	5/5/98	Grace	370		
	AF	6,396,845	5/28/02	Sugita	370		
	AG	6,711,127	3/23/04	Gorman et al.	370		
	AH	5,734,697	3/31/98	Jabbarnezhad	379		
	AI	6,104,783	8/15/00	DeFino	379		
	AJ	6,226,372	5/1/01	Beebe et al.	379		
	AK	6,445,822	9/3/02	Crill et al.	382		
	AL	6,563,959	5/13/03	Troyanker	382		
	AM	6,618,501	9/9/03	Osawa et al.	382		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.

OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AN	6,628,824	9/30/03	Belanger		382		
	AO	6,647,139	11/11/03	Kunii et al.		382		
	AP	6,661,904	12/9/03	Sasich et al.		382		
	AQ	6,668,082	12/23/03	Davison et al.		382		
	AR	6,668,084	12/23/03	Minami		382		
	AS	6,792,144	9/14/04	Yan et al.		382		
	AT	5,345,595	9/6/94	Johnson et al.		455		
	AU	6,278,886	8/21/01	Hwang		455		
	AV	6,889,168	05/03/05	Hartley et al.		702		
	AW	5,210,704	5/11/93	Husseiny		702		
	AX	5,842,002	11/24/98	Schnurer et al.		703		
	AY	5,854,916	12/29/98	Nachenberg		703		
	AZ	5,999,723	12/7/99	Nachenberg		703		
	BA	6,067,410	5/23/00	Nachenberg		703		
	BB	5,864,803	1/26/99	Nussbaum		704		

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BC	6,014,645	1/11/00	Cunningham	705		
	BD	6,584,454	6/24/03	Hummel, Jr. et al.	705		
	BE	5,675,711	10/7/97	Kephart et al.	706		
	BF	5,907,834	5/25/99	Kephart et al.	706		
	BG	5,649,185	7/15/97	Antognini et al.	707		
	BH	5,857,191	1/5/99	Blackwell, Jr. et al.	707		
	BI	5,878,420	3/2/99	de la Salle	707		
	BJ	5,899,999	5/4/99	De Bonet	707		
	BK	6,144,961	11/7/00	de la Salle	707		
	BL	6,161,109	12/12/00	Matamoros et al.	707		
	BM	6,282,546	8/28/01	Gleichauf et al.	707		
	BN	6,463,426	10/8/02	Lipson et al.	707		
	BO	6,647,400	11/11/03	Moran	707		
	BP	4,223,380	9/16/80	Antonaccio et al.	709		

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	BQ	5,309,562	5/3/94	Li	709		
	BR	5,347,450	9/13/94	Nugent	709		
	BS	5,371,852	12/6/94	Attanasio et al.	709		
	BT	5,515,508	5/7/96	Pettus et al.	709		
	BU	5,539,659	7/23/96	McKee et al.	709		
	BV	5,630,061	5/13/97	Richter et al.	709		
	BW	5,706,210	1/6/98	Kumano et al.	709		
	BX	5,745,692	4/28/98	Lohmann, II et al.	709		
	BY	5,790,799	8/4/98	Mogul	709		
	BZ	5,835,726	11/10/98	Shwed et al.	709		
	CA	5,881,236	3/9/99	Dickey	709		
	CB	5,884,033	3/16/99	Duvall et al.	709		
	CC	5,922,051	7/13/99	Sidey	709		
	CD	5,715,395	02/03/98	Brabson et al.	709		
	CE	6,220,768	04/24/01	Barroux	709		

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CF	5,964,839	10/12/99	Johnson et al.	709		
	CG	5,974,237	10/26/99	Shurmer et al.	709		
	CH	5,974,457	10/26/99	Waclawsky et al.	709		
	CH	5,983,270	11/9/99	Abraham et al.	709		
	CJ	6,009,467	12/28/99	Ratcliff et al.	709		
	CK	6,026,442	2/15/00	Lewis et al.	709		
	CL	6,035,323	3/7/00	Narayen et al.	709		
	CM	6,041,347	3/21/00	Harsham et al.	709		
	CN	6,052,709	4/18/00	Paul	709		
	CO	6,070,190	5/30/00	Reps et al.	709		
	CP	6,073,172	6/6/00	Frailong et al.	709		
	CQ	6,085,224	7/4/00	Wagner	709		
	CR	6,119,165	9/12/00	Li et al.	709		
	CS	6,195,687	2/27/01	Greaves et al.	709		

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CT	6,377,994	4/23/02	Ault et al.	709		
	CU	6,397,245	5/28/02	Johnson, II et al.	709		
	CV	6,415,321	7/2/02	Gleichauf et al.	709		
	CW	6,434,615	8/13/02	Dinh et al.	709		
	CX	6,438,600	8/20/02	Greenfield et al.	709		
	CY	6,453,345	9/17/02	Trcka et al.	709		
	CZ	6,453,346	9/17/02	Garg et al.	709		
	DA	6,484,203	11/19/02	Porras et al.	709		
	DB	6,496,858	12/17/02	Frailong et al.	709		
	DC	6,519,647	2/11/03	Howard et al.	709		
	DD	6,708,212	3/16/04	Porras et al.	709		
	DE	6,711,615	3/23/04	Porras et al.	709		
	DF	6,718,383	4/6/04	Hebert	709		
	DG	2002/0032793	3/14/02	Malan et al.	709		

FOREIGN PATENT DOCUMENTS

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	DH	2003/0037136	2/20/03	Labovitz et al.	709		
	DI	4,400,769	8/23/83	Kaneda et al.	710		
	DJ	5,511,184	4/23/96	Lin	710		
	DK	6,467,002	10/15/02	Yang	710		
	DL	5,991,856	11/23/99	Spilo et al.	711		
	DM	6,324,627	11/27/01	Kricheff et al.	711		
	DN	4,975,950	12/4/90	Lentz	713		
	DO	5,032,979	7/16/91	Hecht et al.	713		
	DP	5,121,345	6/9/92	Lentz	713		
	DQ	5,204,966	4/20/93	Wittenberg et al.	713		
	DR	5,274,824	12/28/93	Howarth	713		
	DS	5,278,901	1/11/94	Shieh et al.	713		
	DT	5,311,593	5/10/94	Carmi	713		
	DU	5,359,659	10/25/94	Rosenthal	713		
	DV	5,414,833	5/9/95	Hershey et al.	713		
	DW	5,475,839	12/12/95	Watson et al.	713		

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	DX	5,557,742	9/17/96	Smaha et al.	713		
	DY	5,586,260	12/17/96	Hu	713		
	DZ	5,606,668	2/25/97	Shwed	713		
	EA	5,623,600	4/22/97	Ji et al.	713		
	EB	5,623,601	4/22/97	Vu	713		
	EC	5,696,822	12/9/97	Nachenberg	713		
	ED	5,761,504	6/2/98	Corrigan et al.	713		
	EE	5,764,887	6/9/98	Kells et al.	713		
	EF	5,764,890	6/9/98	Glasser et al.	713		
	EG	5,787,177	7/28/98	Leppek	713		
	EH	5,796,942	8/18/98	Esbensen	713		
	EI	5,798,706	8/25/98	Kraemer et al.	713		
	EJ	5,812,763	9/22/98	Teng	713		
	EK	5,815,574	9/29/98	Fortinsky	713		
	EL	5,822,517	10/13/98	Dotan	713		
	EM	6,851,057	02/01/05	Nachenberg	713		

FOREIGN PATENT DOCUMENTS

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	EN	5,826,013	10/20/98	Nachenberg	713		
	EO	5,828,833	10/27/98	Belville et al.	713		
	EP	5,832,208	11/3/98	Chen et al.	713		
	EQ	5,832,211	11/3/98	Blakley, III et al.	713		
	ER	5,838,903	11/17/98	Blakely, III et al.	713		
	ES	5,845,067	12/1/98	Porter et al.	713		
	ET	5,848,233	12/8/98	Radia et al.	713		
	EU	5,864,665	1/26/99	Tran	713		
	EV	5,875,296	2/23/99	Shi et al.	713		
	EW	5,892,903	4/6/99	Klaus	713		
	EX	5,919,257	7/6/99	Trostle	713		
	EY	5,919,258	7/6/99	Kayashima et al.	713		
	EZ	5,925,126	7/20/99	Hsieh	713		
	FA	5,931,946	8/3/99	Terada et al.	713		
	FB	5,940,591	8/17/99	Boyle et al.	713		
	FC	6,886,102	04/26/05	Lyle	713		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION
						YES NO.

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05456.105008	09/685,285	October 10, 2000

APPLICANT	GROUP
John M. Hammer et al.	2135

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	FD	5,961,644	10/5/99	Kurtzberg et al.	713		
	FE	5,978,917	11/2/99	Chi	713		
	FF	5,983,348	11/9/99	Ji	713		
	FG	5,983,350	11/9/99	Minear et al.	713		
	FH	5,987,606	11/16/99	Cirasole et al.	713		
	FI	5,987,610	11/16/99	Franczek et al.	713		
	FJ	5,987,611	11/16/99	Freund	713		
	FK	5,991,881	11/23/99	Conklin et al.	713		
	FL	5,999,711	12/7/99	Misra et al.	713		
	FM	6,003,132	12/14/99	Mann	713		
	FN	6,061,795	5/9/00	Dircks et al.	713		
	FO	6,070,244	5/30/00	Orchier et al.	713		
	FP	6,081,894	6/27/00	Mann	713		
	FQ	6,493,752	12/10/02	Lee et al.	713		
	FR	5,905,859	05/18/99	Holloway et al.	713		

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	FS	6,088,803	7/11/00	Tso et al.	713		
	FT	6,088,804	7/11/00	Hill et al.	713		
	FU	6,092,194	7/18/00	Touboul	713		
	FV	6,098,173	8/1/00	Elgressy et al.	713		
	FW	6,119,234	9/12/00	Aziz et al.	713		
	FX	6,122,738	9/19/00	Millard	713		
	FY	6,154,844	11/28/00	Touboul et al.	713		
	FZ	6,167,520	12/26/00	Touboul	713		
	GA	6,185,689	2/6/01	Todd, Sr. et al.	713		
	GB	6,205,552	3/20/01	Fudge	713		
	GC	6,266,773	7/24/01	Kisor et al.	713		
	GD	6,266,774	7/24/01	Sampath et al.	713		
	GE	6,272,641	8/7/01	Ji	713		
	GF	6,275,938	8/14/01	Bond et al.	713		
	GG	6,275,942	8/14/01	Bernhard et al.	713		
	GH	6,279,113	8/21/01	Vaidya	713		

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	GI	6,298,445	10/2/01	Shostack et al.	713		
	GJ	6,301,668	10/9/01	Gleichauf et al.	713		
	GK	6,314,520	11/6/01	Schell et al.	713		
	GL	6,321,338	11/20/01	Porras et al.	713		
	GM	6,324,647	11/27/01	Bowman-Amuah	713		
	GN	6,338,141	1/8/02	Wells	713		
	GO	6,347,374	2/12/02	Drake et al.	713		
	GP	6,357,008	3/12/02	Nachenberg	713		
	GQ	6,405,318	6/11/02	Rowland	713		
	GR	6,408,391	6/18/02	Huff et al.	713		
	GS	6,460,141	10/1/02	Olden	713		
	GT	6,470,449	10/22/02	Blandford	713		
	GU	6,477,648	11/5/02	Schell et al.	713		
	GV	6,477,651	11/5/02	Teal	713		

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	GW	6,487,666	11/26/02	Shanklin et al.	713		
	GX	6,499,107	12/24/02	Gleichauf et al.	713		
	GY	6,510,523	1/21/03	Perlman et al.	713		
	GZ	6,519,703	2/11/03	Joyce	713		
	HA	6,530,024	3/4/03	Proctor	713		
	HB	6,546,493	4/8/03	Magdych et al.	713		
	HC	6,574,737	6/3/03	Kingsford et al.	713		
	HD	6,578,147	6/10/03	Shanklin et al.	713		
	HE	6,681,331	1/20/04	Munson et al.	713		
	HF	6,691,232	2/10/04	Wood et al.	713		
	HG	6,704,874	3/9/04	Porras et al.	713		
	HH	6,725,377	4/20/04	Kouznetsov	713		
	HI	6,725,378	4/20/04	Schuba et al.	713		
	HJ	6,775,780	8/10/04	Muttik	713		

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	HK	6,792,546	9/14/04	Shanklin et al.	713		
	HL	6,816,973	11/9/04	Gleichauf et al.	713		
	HM	6,839,850	1/4/05	Campbell et al.	713		
	HN	2001/0034847	10/25/01	Gaul, Jr.	713		
	HO	2002/0035698	3/21/02	Malan et al.	713		
	HP	2002/0083331	6/27/02	Krumel	713		
	HQ	2002/0083334	6/27/02	Rogers et al.	713		
	HR	2002/0138753	9/26/02	Munson	713		
	HS	2002/0144156	10/3/02	Copeland, III	713		
	HT	2003/0088791	5/8/03	Porras et al.	713		
	HU	2003/0212903	11/13/03	Porras et al.	713		
	HV	2004/0010718	1/15/04	Porras et al.	713		
	HW	4,672,609	6/9/87	Humphrey et al.	714		
	HX	4,773,028	9/20/88	Tallman	714		
	HY	5,398,196	3/14/95	Chambers	714		

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	HZ	5,440,723	8/8/95	Arnold et al.	714		
	IA	5,452,442	9/19/95	Kephart	714		
	IB	5,649,095	7/15/97	Cozza	714		
	IC	5,765,030	6/9/98	Nachenberg et al.	714		
	ID	5,964,889	10/12/99	Nachenberg	714		
	IE	6,006,016	12/21/99	Faigon et al.	714		
	IF	6,016,553	1/18/00	Schneider et al.	714		
	IG	6,021,510	2/1/00	Nachenberg	714		
	IH	6,029,256	2/22/00	Kouznetsov	714		
	II	6,035,423	3/7/00	Hodges et al.	714		
	IJ	6,094,731	7/25/00	Waldin et al.	714		
	IK	6,108,799	8/22/00	Boulay et al.	714		
	IL	6,173,413	1/9/01	Slaughter et al.	714		
	IM	6,912,676	06/28/05	Gusler et al.	714		

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	IN	6,199,181	3/6/01	Rechef et al.	714		
	IO	6,230,288	5/8/01	Kuo et al.	714		
	IP	6,314,525	11/6/01	Mahalingham et al.	714		
	IQ	6,324,656	11/27/01	Gleichauf et al.	714		
	IR	6,601,190	7/29/03	Meyer et al.	714		
	IS	2002/0032880	3/14/02	Poletto et al.	714		
	IT	5,454,074	9/26/95	Hartel et al.	715		
	IU	5,522,026	5/28/96	Records et al.	715		
	IV	6,271,840	8/7/01	Finseth et al.	715		
	IW	6,517,587	2/11/03	Satyavolu et al.	715		
	IX	6,535,227	3/18/03	Fox et al.	715		
	IY	4,819,234	4/4/89	Huber	717		
	IZ	5,590,331	12/31/96	Lewis et al.	717		
	JA	5,774,727	6/30/98	Walsh et al.	717		
	JB	5,872,978	2/16/99	Hoskins	717		

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	JC	5,950,012	9/7/99	Shiell et al.	717		
	JD	6,118,940	9/12/00	Alexander, III et al.	717		
	JE	6,405,364	6/11/02	Bowman-Amuah	717		
	JF	6,606,744	8/12/03	Mikurak	717		
	JG	6,397,242	5/28/02	Devine et al.	718		
	JH	2002/0032717	3/14/02	Malan et al.	718		
	JI	6,477,585	11/5/02	Cohen et al.	719		
	JJ	6,721,806	4/13/04	Boyd et al.	719		

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		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES	NO.
	JK	WO 01/084285	11/08/01	PCT	Internet Security Systems, Inc.		
	JL	WO 02/006928	01/24/02	PCT	vCIS, Inc.		
	JM	WO 00/054458	09/14/00	PCT	Psionic Software, Inc.		
	JN	WO 02/056152	07/18/02	PCT	Psionic Software		
	JO	0 985 995	08/13/03	EP	International Business Machines Corp.		
	JP	WO 93/25024	12/09/93	PCT	Cyberlock Data Intelligence, Inc.		
	JQ	WO 00/02115	01/13/00	PCT	PRC Inc.		
	JR	WO 99/00720	01/07/99	PCT	Telefonaktiebolaget LM Ericsson		
	JS	WO 99/50734	10/07/99	PCT	AT&T Corporation		
	JT	WO 98/41919	09/24/98	PCT	Trend Micro, Inc.		
	JU	WO 99/53391	10/21/99	PCT	Sun Microsystems, Inc.		
	JV	0 636 977	05/23/01	EP	Chambers		
	JW	WO 99/15966	04/01/99	PCT	Symantec Corporation		

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	JX		Essex, David, E-Sleuths Make Net Safe for E-Commerce, Computerworld, June 2000, pp. 1-2
	JY		Newman, David, Intrusion Detection Systems, Data Communications, 1998, pp. 1-9
	JZ		International Search Report for PCT/US02/17161 of December 31, 2002
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	KB	Koilpillai et al., Recon-A Tool for Incident Detection, Tracking and Response, Darpa Information Survivability Conference and Exposition, 2000, pp. 199-206
	KC	Alves-Foss, J., An Overview of SNIF: A Tool for Surveying Network Information Flow, Network and Distributed System Security, 1995, pp. 94-101
	KD	Mansouri-Samani et al., A Configurable Event Service for Distributed Systems Configurable Distributed Systems, 1996, pp. 210-217
	KE	International Search Report for PCT/US01/13769 of March 8, 2002
	KF	Jagannathan et al., System Design Document: Next-Generation Intrusion Detection Expert Systems (NIDES), Internet Citation, March 9, 1993, XP002136082, pp. 1-66
	KG	Koilpillai, Adaptive Network Security Management, DARPA NGI PI Conference, October 1998, pp. 1-27
	KH	Hiverworld Continuous Adaptive Risk Management, Hiverworld, Inc., 1999-2000, pp. 1-14
	KI	International Search Report for PCT/US02/04989 of September 19, 2002
	KJ	International Search Report for PCT/US02/02917 of August 8, 2002
	KK	International Search Report for PCT/US03/00155 of May 15, 2003
	KL	NXI Communications, Inc., White Paper, NTS Security Issues, October 15, 2001, pp. 1-12
	KM	Mounji et al., Distributed Audit Trail Analysis, Proceedings of the Symposium of Network and Distributed System Security, San Diego, CA, February 16-17, 1995, PP. 102-112
	KN	Wobber et al., Authentication in the Taos Operating System, ACM Transactions on Computer Systems, Vol. 12, No. 1, February 1994, pp. 3-32

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	KP	Dawson, Intrusion Protection for Networks, Byte, April 1995, pp. 171-172
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	KR	Process Software Technical Support Page, found on http://www.process.com/techsupport/whitesec.html, printed off of the Process Software website on February 26, 2003, pp. 1-5
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	KT	Lee, Trusted Systems, Chapter II-1-6 of Handbook of Information Security Management, Ed. Zella G. Ruthberg and Harold F. Tipton, Auerbach, Boston and New York, 1993, pp. 345-362
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	KV	Guha et al., Network Security via Reverse Engineering of TCP Code: Vulnerability Analysis and Proposed Solution, IEEE, March 1996, pp. 603-610
	KW	Garg et al., High Level Communication Primitives for Concurrent Systems, IEEE, 1988, pp. 92-99
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	KY	Snapp, Signature Analysis and Communication Issues in a Distributed Intrusion Detection System, Master Thesis, University of California, Davis, California, 1991, pp. 1-40
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	LG	Safford et al., "The TAMU Security Package: An Ongoing Response to Internet Intruders in an Academic Environment," USENIX Symposium Proceedings, UNIX Security IV, October 4-6, 1993, Santa Clara, California, pp. 91-118.
	LH	Sugawara, Toshiharu, "A Cooperative LAN Diagnostic and Observation Expert System," Ninth Annual Phoenix Conference on Computers and Communications, 1990 Conference Proceedings, March 21-23, 1990, Scottsdale, Arizona, pp. 667-674.
	LI	Casella, Karen A., "Security Administration in an Open Networking Environment," The Ninth Systems Administration Conference, LISA 1995, Monterrey, California, September 17-22, 1995, pp. 67-73.
	LJ	Burchell, Jonathan, "Vi-SPY: Universal NIM?" Virus Bulletin, January 1995, pp. 20-22.
	LK	Benzel et al., "Identification of Subjects and Objects in a Trusted Extensible Client Server Architecture," 18th National Information Systems Security Conference, October 10-13, 1995, Baltimore, Maryland, pp. 83-99.

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	VF	Security Manager for UNIX Systems Version 3.2.1 User's Guide, February 1998, Internet Security Systems, pp. 1-162
	VG	RealSecure Release 1.2 for UNIX A User Guide and Reference Manual, 1997, Internet Security Systems, Inc., pp. 1-92

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO.

OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	VH	Internet Scanner SAFE SAFEsuite 4.0 User Guide and Reference Manual, 1996, Internet Security Systems, Inc., pp. 1-158
	VI	Internet Scanner 3.3 User Guide and Reference Manual, 1996, Internet Security Systems, Inc., pp. 1-119
	VJ	Landwehr et al., Newsletter of the IEEE Computer Society's TC on Security and Privacy Electronics, Electronic CIPHER, November 25, 1997, Issue 25, pp. 1-34
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	VL	EMERALD Alert Management Interface User's Guide Version 1.2, December 6, 2000, SRI International, pp. 1-11
	VM	Anderson et al., Detecting Unusual Program Behavior Using the Statistical Component of the Next-Generation Intrusion Detection Expert System (NIDES), May 1995, SRI International, pp. 1-89
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	VO	Network ICE Products - ICEcap, Date Unknown, pp. 1-2
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	VT	"Real Secure, OS Sensor User Guide," Version 5.0, ©Internet Security Systems, Inc. 199?-2000; September 2000, pgs. 1-64.
	VU	"Real Secure, User's Guide," Version 3.0, © 1992-1998, Internet Security Systems, Inc., pgs. 1-128.
	VV	"System Security Scanner, User Guide," Version 1.6, © 1996-1998, Internet Security Systems, Inc., pgs. 1-164.
	VW	"Real Secure™, Network Engine User Guide," Version 3.2.1, © 1999 by Internet Security Systems, Inc., pgs. 1-38.
	VX	"Real Secure™, User Guide," Version 3.2.1, © 1999 by Internet Security Systems, Inc., pgs. 1-38
	VY	"Real Secure™, Manager for HP OpenView User Guide," Version 1.3, © 1999 by Internet Security Systems, Inc., pgs. 1-48.
	VZ	"Database Scanner, User Guide," Version 2.0, © 1992-1999, Internet Security Systems, Inc., pgs. 1-112.
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	WC	"Real Secure™, Network Sensor User Guide," Version 5.0, © 2000 by Internet Security Systems, Inc., pgs. 1-42.
	WD	"Real Secure, Server Sensor User Guide," Version 5.5, © Internet Security Systems, Inc. 2000, pgs. 1-56.
	WE	"Internet Scanner™, User Guide," Version 6.0, Copyright © 1999 by Internet Security Systems, Inc., pgs. 1-182.
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OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	WH	"Internet Scanner™, User Guide," Version 5.3, © 1992-1998, Internet Security Systems, Inc. pgs. 1-173.
	WI	"Real Secure, Console User Guide," Version 5.5, © 199?-2000, Internet Security Systems, Inc., pgs. 1-162.
	WJ	"Internet Scanner™, User Guide," Version 5.8, © 1999 by Internet Security Systems, Inc., pgs. 1-148.
	WK	"SAFEsuite Decisions, User Guide," Version 1.0, © 1992-1998, Internet Security Systems, Inc., pgs. 1-88.
	WL	"Real Secure™, Console User Guide," Version 5.0, © 2000 by Internet Security Systems, Inc., pgs. 1-114.
	WM	"SAFEsuite DECISIONS, User Guide," Version 2.5, © 2000 by Internet Security Systems, Inc., pgs. 1-194.
	WN	"System Scanner, User Guide," Version 1.7, © 1992-1998, Internet Security Systems, Inc., pgs. 1-248.
	WO	"Sytem Scanner, User Guide," Version 1.0, © 1996-1998, Internet Security Systems, Inc., pgs. 1-140.
	WP	"System Scanner™, User Guide," Version 4.0, © 1999 by Internet Security Systems, Inc., pgs. 1-178.
	WQ	Internet Security Systems, Inc., "Introduction to RealSecure Version 5.0, The Industry's Only Integrated Host-Based and Network-Based Intrusion Detection System", August 22, 2000, pp. 1-47
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	WS	Internet Security Systems, Inc., "RealSecure Console User Guide Version 3.1", June 1999, pp. 1-98
	WT	Internet Security Systems, Inc., "RealSecure Version 2.0", April 1998, pp. 1- 154
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	WV	6,871,284	03/22/05	Cooper et al.	713		
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	WX	Internet Security Systems, Inc., "RealSecure Site Protector Comparison Guide for Internet Scanner 7.0 Reporting Version 1.2", March 2003, an ISS Tech Note, pp. 1-15
	WY	Internet Security System, Inc., "RealSecure Site Protector Comparison Guide for ICEcap Manager Version 1.5", January 2002, an ISS Technical White Paper, pp. 1-27
	WZ	Internet Security Systems, Inc., "RealSecure SiteProtector Security Fusion Module 2.0 Frequently Asked Questions", March 2003, pp. 1-8
	XA	Internet Security Systems, Inc., "RealSecure SiteProtector Console User Reference Guide Version 2.0 Service Pack 1", March 14, 2003, Chapter 3, pp. 1-27
	XB	Internet Security Systems, Inc., "Proventia Gateway and Network Protection", July 2003, pp. 1-12
	XC	Farley, Internet Security System, Inc., "ID Fusion Technology, A First-Generation Approach", April 29, 1999, National Security Framework Forum, pp. 1-8
	XD	Farley, "RealSecure Fusion Engine Concepts", an ISS White Paper, June 30, 1999, pp. 1-23
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